

## Exception Request Form: Minimum Security Standards for Desktops, Laptops, Mobile, and Other Endpoint Devices

Directions: Under appropriate circumstances, a user may be granted an exception to adherence to the Minimum-Security Standards for Desktops, Laptops, Mobile, and Other Endpoint Devices. Academic and clinical units are responsible for identifying and implementing their exceptions process and for documenting their exceptions.

**Exceptions are valid for one calendar year from date of approval.**

### Section A: Employee Information

Employee Name	
Employee Position/Title/Role	
Employee Signature	
School/Unit/Department	
Date	

### Section B: Exceptions Requested

**University-owned desktop, laptop, and notebook computers that have access to, store, and/or process university data.**

By checking the box(es) below, you are requesting an exception to compliance with the standard(s). The form can be for multiple exception requests.

Standards for Low-Risk Data	
<input type="checkbox"/>	2.1 Security Patching
<input type="checkbox"/>	2.4 Malware Protection
<input type="checkbox"/>	2.5 Supported OS
<input type="checkbox"/>	2.6 Supported Software
<input type="checkbox"/>	2.7 Firewall
<input type="checkbox"/>	2.8 Limit Administrative Account Privileges
<input type="checkbox"/>	2.9 Whole Disk Encryption

***Please note that exceptions are not permitted for 2.2 Password Authentication***

Standards for Moderate-Risk Data	
	3.1 Scan for Personally Identifiable Information (PII)
	3.2 Inventory
	3.3 Inactivity Timeout
	3.4 Hard Drive and Printer Sharing
	3.6 Disposal/Re-use of Hard Drives and Storage
	3.7 Remote Desktop Access

***Please note that exceptions are not permitted for 2.13 Login Banner***

Standards for High-Risk Data	
	4.1 Application Allow Listing
	4.2 Account Lockout
	4.3 Vulnerability Scanning
	4.4 Physical Security
	4.5 Security Benchmarking

## Section C: Exceptions Requested

**University-owned mobile and other endpoint devices that have access to, store, and/or process university data.**

Standards for Low-Risk and Moderate-Risk Data	
	5.2 Inactivity Timeout
	5.5 Disposal/Re-use
	5.6 Category 1 Restricted Data

## Section D: Rationale

## Section E: Approval

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Dean/Vice President/Designee Name (please print)

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Dean/Vice President/Designee Signature

Date